## INFORMATION DISCLOSURE CITATION

ATTY DOCKET NO. 42830-00060 SERIAL NO. 09/469,733

Jeffrey B. Etter

FILING IGROUP

(Use several sheets if necessary)			Jeffrey B. Etter	Jeffrey B. Etter FILING GROUP					
		December 21, 1999							
		U.\$. P	ATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT			
			OIPE						
			MAR 1 5 2000	ycse y					
			THE MARK OF	<b>*</b>			<del>,                                      </del>		
							<u> </u>		
			_						
		FOREIGI	N PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS			
					<del> </del>	YES	NO		
.				<u> </u>					
			•						
							<u></u>		
			· · · · · · · · · · · · · · · · · · ·						
	OTHER DOCUME		Author, Title, Date, Pertinen						
FH	Knutson, Barbara L. et (1996).	al., "Preparation of	f Microparticulates Using super	critical Fluids,"	Drugs Pharm	Sci., 77:89	9-125		
FM	Tom, Jean W. and Pabl Expansion of Supercrit	o G. Debenedetti, "] ical Solutions," <i>Biot</i>	Formation of Bioerodible Polymechnol. Prog., 1991, Vol. 7, No. 5	neric Microsphe 5, pp. 403-411.	res and Micro	particles b	y Rapid		
EXAMINER	F. Moesie		DATE CONSIDERED	8/24	101				
							_		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Ĺ

	•1.		ATTY DOCKET NO. 42830-00060		S	SERIAL NO. 09/469,733				
eş"	INFO	DRMATION DISCLOSURE (Use several sheets if necess			Jeffrey B. Etter	<u> </u>	<u></u>			
		(Odd ddydraf siloets if fiocess	aiy)		FILING		ROUP	_		
<del>)</del>					December 21, 19	99		<del></del>		
	ı-		U.S	S. PATENT	DOCUMENTS					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DAT		
FM	A	5,874,064	2/23/99	Edward	Is et al. MAR 1 5 200	1484	46			
1	В	5,654,007	8/5/97	Johnson	Is et al. MAR 1 5 200 et al.	<b>4</b> 24	489		•	
$\int t^{2}$	C	5,997,848	12/7/99	Patton (	et al.	424	46			
	D	5,460,173	10/24/95	Mulhau	ser et al.	128	203.15			
2.	Ē	5,503,869	4/2/96	Van Oo	rt	427	2.14			
	F	5,875,776	3/2/99	Vaghefi		128	203.15			
\ \_\	G	5,794,613	8/18/98	Piskors	ki	128	203.12			
FM	Н	5,619,984	4/15/97	Hodson	et al.	128	203.15			
			FORE	IGN PATE	NT DOCUMENTS					
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSI YES	NO NO	
FM	I _	EP 0 542 314 B1	01/07/98	ЕРО		_				
\	J ,	WO 96/32149	10/17/96	WIPO						
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	K <	WO 98/29141	7/9/98	WIPO		,	-			
ζ.	L	EP 0 069 715 B1	11/5/86	ЕРО						
FM	M	WO 98/04308	2/5/98	WIPO		_				
	-	OTHER DOCUME	NTS (Includin	g Author	; Title, Date, Pertinent F	Pages, Etc.)	,			
FM	3)	"Drug Formulation Teck www.allp.com/PulmoSpi	hnology For Con heres/PS_WHITI	npounds A E.HTM; p	dministered by Inhalation ublication date unknown.	," Alliance P	harmaceutical	Corp"; W	eb Page	
FM		Zia, Hossein et al., "Comparison of Nasal Insulin Powders Prepared by Supercritical Fluid and Freeze-Drying Techniques," Particulate Science and Technology, 15:273-301 (1997).								
EXAMINE	₹	F. Moezee		122	DATE CONSIDERED	8/24 (0	>1			

Form PTO-A820 (also form PTO-1449)

considered. Include copy of this form with next communication to applicant.

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not

## INF

			ATTY DOCKET NO. 42830-0006		SERIAL NO. 09/467,783			
<b>-</b> O	RMATION DISCLOSURE (Use several sheets if neces:		Jeffrey B. Etter	Jeffrey B. Etter FILING December 21, 1999				
		U.S. F	PATENT DOCUMENTS		TENT S	Donald Chil		
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FICING DATE  IF APPROPRIATE		
							_	
						· · · · · · · · · · · · · · · · · · ·		
							_	

## **FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION				
		DOCUMENT NOMBER	DATE	COUNTRY	OLAGO		YES	NO			
			1								
						ļ					
4											

(Including Author, Title, Date, Pertinent Pages, Etc.) OTHER DOCUMENTS

FM	R	Winters, Michael A. et al., "Long-Term and High-Temperature Storage of Supercritically-Processed Microparticulate Protein Powders," <i>Pharm. Res.</i> , Vol. 14, No. 10, 1997, pp. 1370-1378.
FH	s	Winters, Michael A. et al., "Precipitation of Proteins in Supercritical Carbon Dioxide," J. Pharmaceutical Sciences, Vol. 85. No. 6, June 1996, pp. 586-594.

**EXAMINER** 

\*EXAMINER INITIAL

F. Moezie

DATE CONSIDERED

8/24/01

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## ATTY DOCKET NO. SERIAL NO. 09/469,733 42830-00060 INFORMATION DISCLOSURE CITATION Jeffrey B. Etter (Use several sheets if necessary) FILING GROUP December 21, 1999 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT NUMBER NAME CLASS DATE INITIAL **FOREIGN PATENT DOCUMENTS** TRANSLATION DATE CLASS SUBCLASS DOCUMENT NUMBER COUNTRY YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Yeo, Sang-Do et al., "Secondary Structure Characterization of Microparticulate Insulin Powders," *J. of Pharmaceutical Sciences*, Vol. 83, No. 12, December 1994, pp. 1651-1656. ŀт FM Yeo, Sando-Do et al., "Formation of Microparticulate Protein Powders Using a Supercritical Fluid Antisolvent," Biotechnology and Bioengineering, Vol. 41, No. 3, Feb. 5, 1993, pp. 341-346.

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**EXAMINER** 

**DATE CONSIDERED** 

	-				Docket Number (Optional) 42830-06		pplication Number 09/4	169,733		
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)					Applicant(s) ETTER					
					Filing Date	roup Art Unit				
			<u> </u>		December 2	1, 1999		1614		
		FEB 2 8 2001	7	U.S. PAT	ENT DOCUMENTS					
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATI	E	
FM	1.	5,814,678 PRADEMARY	9/29/98	Randol	ph 	522	18			
FM	2.	5,851,453	12/22/98	Hanna et al.		264	5			
FM	3.	6,063,910	5/16/00	Debene	detti et al.	530	418			
							1			
						REAL CHARLES FRANCE	AECEIVE.			
			· · ·			MILIA	2700	ò		
						SULTO				
			***		e en	, and the second		3		
			-			• • • • • • • • • • • • • • • • • • • •				
				FOREIG	N PATENT DOCUMEN	its	<u> </u>			
	REF	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	Translation YES NO		
						<u>-</u> -		123	,	
	-									
							<u> </u>			
y-=5										
		<u> </u>		ОТИБЬ	DOCUMENTS (Inclu	uding Author, Title, D	ate Partinant Da	ges Ftc \		
	—-т			UIHEK		uing Auinor, Tille, D	иле, гентет Ра	ges, Lic.j		
EXAMINE	ER	F. Moezie		DATE CONSIDERED 8/24 (01			1			
EXAMINE	R. Init	ial if citation considered, whether o	r not citation is i	conforman	ce with MPEP Section 60			n conformance an	d not	
considered	l. Inclu	le copy of this form with next comn	nunication to app	licant.	3000000	,				

Form PTO-A820 (also form PTO-1449)